

豁免收取“交易確認”通知申請及風險披露

本人欲申請豁免收取由榮通證券有限公司（“貴公司”）提供之交易確認通知。

本人明白“交易確認”的通知為重要提示訊息，讓本人及時獲知交易的執行情況。選擇豁免收取“交易確認”的通知，本人充分了解相關風險，包括但不限於下列所載：

1. 未能及時知悉帳戶在未經授權下被第三者動用

透過收取“交易確認”的通知，讓本人及時掌握戶口的最新狀況，降低或紓減與互聯網交易相關的黑客入侵風險。若本人選擇不收取“交易確認”的通知，有可能未能及時知悉帳戶在未經授權下被第三者動用，而導致未經授權的交易在帳戶內出現。

2. 可能蒙受額外損失

由於本人不收取“交易確認”的通知，未能及時發現未經授權的交易，有可能因此而蒙受損失。本人明白並願意承受上述豁免收取“交易確認”的通知的潛在風險。

Application and Risk Disclosure of opt out from “trade confirmation” notifications

I/We hereby apply for not receiving “trade confirmation” notifications provided by Wintone Securities Limited (‘Company’).

I/We acknowledge that I/we have read, understood and agreed “trade confirmation” notifications are important messages which allow me/us to know the execution status of the transaction in a timely manner. If I/we choose to opt out from “trade confirmation” notifications, I/we should fully understand the relevant risks, including but not limited to the following:

1. Unable to timely realize that the account is being accessed by a third party without authorization

“Trade confirmation” notifications let me/us stay on top of my/our account activity and reduce or mitigate hacking risks associate with internet trading. If I/we choose to opt out from “trade confirmation” notifications, I/we may be unable to realize that my/our account is being accessed by another party, leading to unauthorized trades effected in the account.

2. May suffer from additional loss

Since I/we choose to opt out from “trade confirmation” notifications, I/we may suffer from loss due to delay in detecting unauthorized trades.

I/We understand and am/are willing to bear the above potential risks of not receiving “trade confirmation” notifications.

客戶簽署/公司蓋章 Client Signature(s)/ Business Chop

日期 Date

客戶姓名/名稱 Client’s Name

帳戶號碼 Account No.